

**Session 157: Beyond Nutrients?  
Potential role of ultra-processed  
foods in obesity**

FNCSE 2019  
Food & Nutrition Conference & Expo  
Philadelphia, PA | October 28-31

### Disclosures for Dr. Hall and Dr. Courville

- Required: Employer
  - National Institutes of Health
- Board Member/Advisory Panel
  - None
- Consultant
  - None
- Employee
  - National Institutes of Health
- Research Support
  - Intramural Research Program of the National Institutes of Health
- Speaker's Bureau
  - None
- Stock/Shareholder
  - None

FNCSE 2019  
Food & Nutrition Conference & Expo

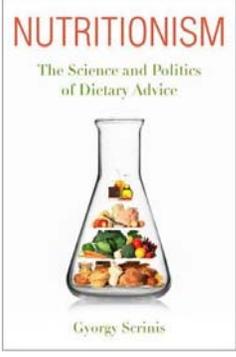
### Learning Outcomes

At the end of this session, the participant will be able to:

- describe the concept of an ultra-processed food
- understand the NOVA food classification system
- describe the potential role of ultra-processed foods in obesity
- describe the process of creating and providing highly controlled metabolic diets that differ in processing

FNCSE 2019  
Food & Nutrition Conference & Expo

### Diet Quality & “Nutritionism”

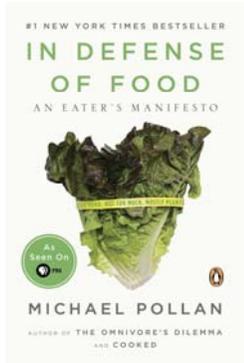


**NUTRITIONISM**  
The Science and Politics  
of Dietary Advice  
Georgy Scrimis

Nutritionism – or nutritional reductionism – is characterized by a reductive *focus* on the nutrient composition of foods as the means for understanding their healthfulness, as well as by a reductive *interpretation* of the role of these nutrients in bodily health.

4

## Diet Quality & “Nutritionism”



In the case of nutritionism, the widely shared but unexamined assumption is that the key to understanding food is indeed the nutrient. Put another way: Foods are essentially the sum of their nutrient parts.

5



6

World Nutrition Volume 7, Number 1-3, January-March 2016

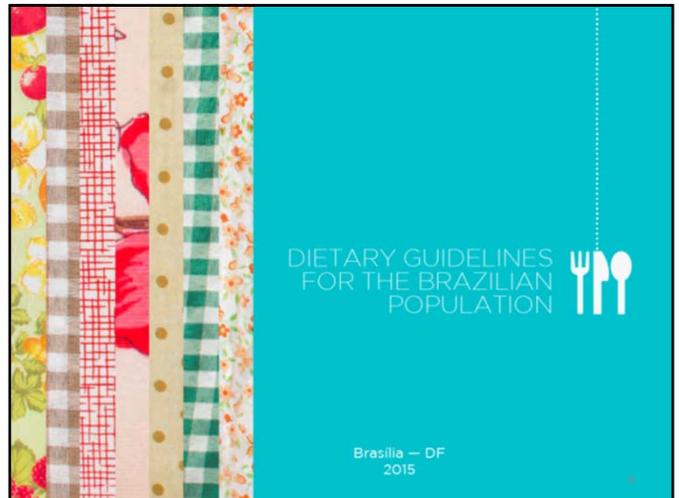
### The NOVA star is born



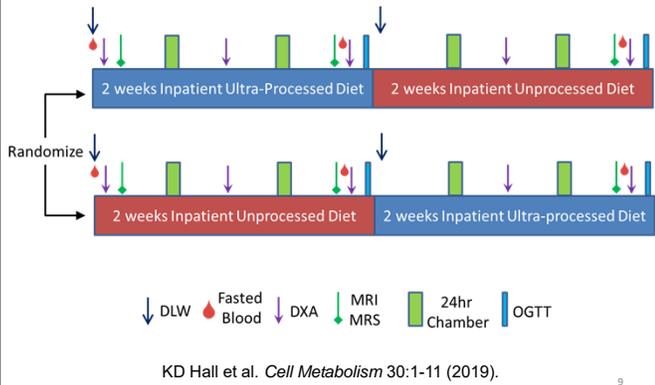
Top: fruits; grains and legumes; meat stew with beans and vegetables; water.

Then: fruit flavour popsicles; breakfast 'cereals'; reconstituted meat product; soft drinks.

The ultra-processed products below are not variants of the foods and meal above. They are formulated from industrial ingredients and contain little or no intact foods. By their nature they are unhealthy, and should be grouped together, and avoided



### Ultra-processed vs Unprocessed Diet Study



### Diet Quality & Ultra-processed Food

Unprocessed or minimally processed foods include fresh, dried, or frozen vegetables, grains, legumes, fruits, meats, fish, eggs, and milk. They are the basis of healthy dishes and meals.

Ultra-processed foods include fast food, sugary drinks, snacks, chips, candies, cookies, sweetened milk products, sweetened cereals, and sauce and dressings. They are nutritionally poor.

10

	Ultra-Processed Diet	Unprocessed Diet
<b>Three Daily Meals</b>		
Energy (kcal/day)	3,905	3,871
Carbohydrate (%)	49.2	46.3
Fat (%)	34.7	35.0
Protein (%)	16.1	18.7
Energy density (kcal/g)	1.024	1.028
Non-beverage energy density (kcal/g)	1.957	1.057
Sodium (mg/1,000 kcal)	1,997	1,981
Fiber (g/1,000 kcal)	21.3	20.7
Sugars (g/1,000 kcal)	34.6	32.7
Saturated fat (g/1,000 kcal)	13.1	7.6
Omega-3 fatty acids (g/1,000 kcal)	0.7	1.4
Omega-6 fatty acids (g/1,000 kcal)	7.6	7.2
Energy from unprocessed (%) <sup>a</sup>	6.4	83.3
Energy from ultra-processed (%) <sup>a</sup>	83.5	0

11

### Ultra-processed vs Unprocessed Diets

The meals had similar amounts of:  
Calories, Carbs, Fat, Protein, Sugar, Sodium, Fiber

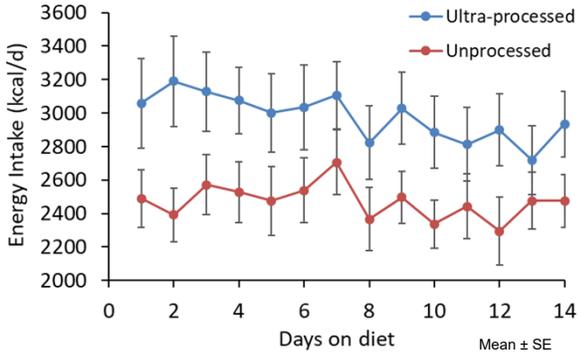
20 Adults were instructed to eat as much or as little as desired

Primary Outcome: Energy Intake Differences

KD Hall et al. *Cell Metabolism* 30:1-11 (2019).

12

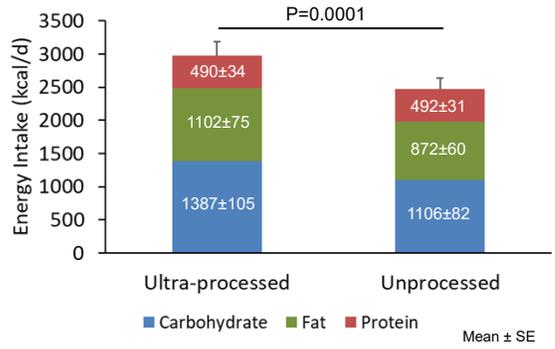
### Ultra-processed Diets Cause Increased Intake



KD Hall et al. *Cell Metabolism* 30:1-11 (2019).

13

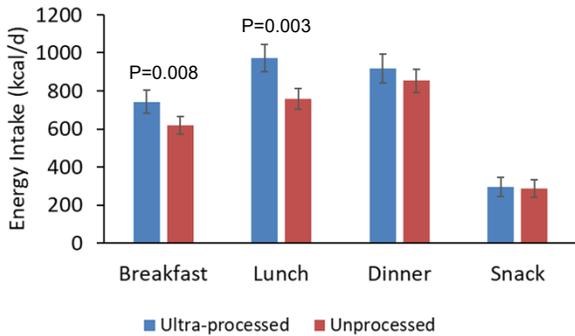
### More Carbs & Fat with Ultra-processed Diets



KD Hall et al. *Cell Metabolism* 30:1-11 (2019).

14

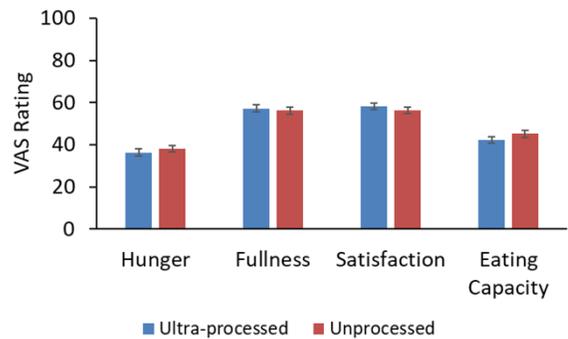
### Larger Meals with Ultra-processed Diets



KD Hall et al. *Cell Metabolism* 30:1-11 (2019).

15

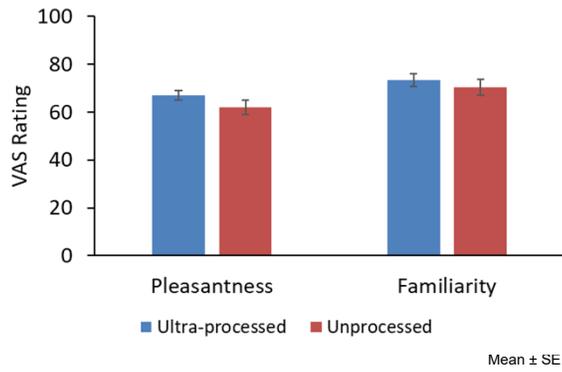
### No Differences in Self-Reported Appetite



KD Hall et al. *Cell Metabolism* 30:1-11 (2019).

16

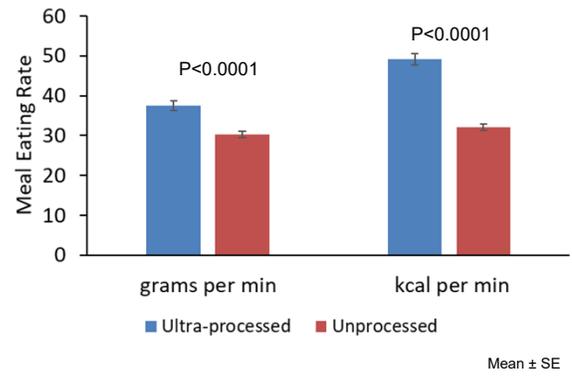
### No Differences in Pleasantness or Familiarity



KD Hall et al. *Cell Metabolism* 30:1-11 (2019).

17

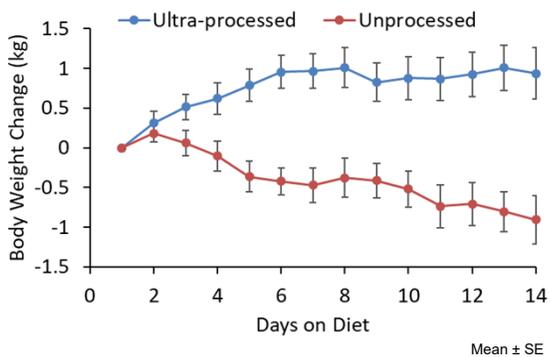
### Faster Eating Rate for Ultra-processed Meals



KD Hall et al. *Cell Metabolism* 30:1-11 (2019).

18

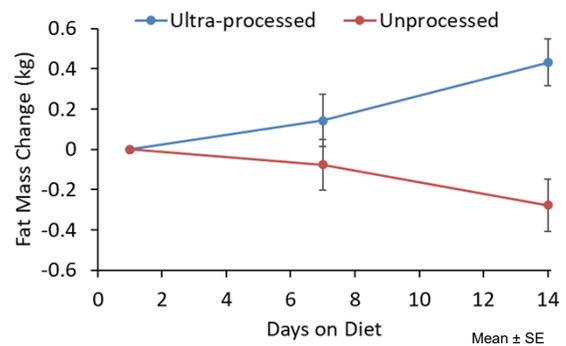
### Ultra-processed Diets Cause Weight Gain



KD Hall et al. *Cell Metabolism* 30:1-11 (2019).

19

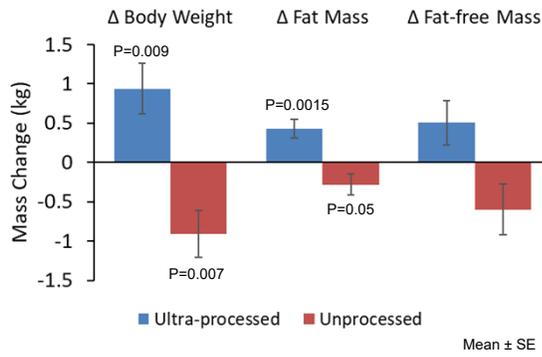
### Ultra-processed Diets Cause Fat Gain



KD Hall et al. *Cell Metabolism* 30:1-11 (2019).

20

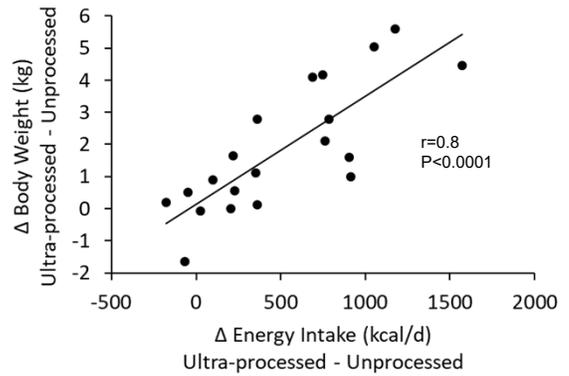
## Weight & Fat Gain with Ultra-processed Diets



KD Hall et al. *Cell Metabolism* 30:1-11 (2019).

21

## Substantial Individual Variability



KD Hall et al. *Cell Metabolism* 30:1-11 (2019).

22



23

## Key Questions:

- What are the mechanisms by which ultra-processed versus unprocessed diets influence calorie intake?
  - Not Salt, Sugar, Fat, or Fiber?
  - Not Palatability?
  - Energy Density of Non-Beverage Foods?
  - Oro-sensory properties & Eating Rate?
  - Hormones? PYY? Ghrelin?
  - Protein Leverage? X Leverage?
  - Artificial Flavors, other ingredients, or lack thereof?
  - Role of the Microbiome?

24

### Key Questions:

- Can ultra-processed foods be reformulated to avoid their effects on calorie intake and weight gain?
  - Perhaps, but we first need to better understand mechanisms
  - Our study suggests that a focus on Salt, Sugar, & Fat may not necessarily be effective
- Is it a feature or a flaw of NOVA that product reformulation can't move an ultra-processed product into another NOVA category?
  - Can't turn a "bad food" into a "good food" by changing its nutrient profile

25

### Key Questions:

- Policy implications are not necessarily clear
  - Ultra-processed foods are convenient, tasty, inexpensive, and safe from a microbiological perspective
  - Ultra-processed foods contribute a large fraction of habitual dietary calories and nutrients in the USA and elsewhere
  - Preparing meals from unprocessed foods and culinary ingredients takes more time, money, skill, and equipment to do safely and effectively
  - A tax on ultra-processed foods would likely be regressive and disproportionately affect those in lower SES

26

#### Intramural NIH

Amber Courville (CC)  
Paule Joseph (NINR)  
Merel Kozlosky (CC)  
Klaudia Raisinger (CC)  
Shanna Yang (CC)

#### Intramural NIDDK

Alexis Ayuketah  
Robert Brychta  
Hongyi Cai  
Thomas Cassimatis  
Kong Chen  
Stephanie Chung  
Elise Costa  
Valerie Darcey  
Laura Fletcher  
Ahmed Gharib  
Juen Guo  
Rebecca Howard  
Suzanne McGehee  
Ronald Ouwerkerk  
Marc Reitman  
Irene Rozga  
Michael Stagliano  
Mary Walter  
Peter Walter  
Megan Zhou

#### Extramural Collaborators

Ciaran Forde (Singapore)

#### Special Thanks

Nursing Staff at the NIH MCRU  
Volunteer Study Subjects

one program  
many people  
infinite possibilities

[irp.nih.gov](http://irp.nih.gov)



Intramural  
Research  
Program

Our Research Changes Lives



## Diet Preparation

Amber Courville, PhD, RDN  
Metabolic Clinical Research Dietitian  
National Institutes of Health Clinical Center  
Nutrition Department



Intramural Research Program  
Our Research Changes Lives

one program  
many people  
infinite possibilities



World Nutrition Volume 7, Number 1-3, January-March 2016



*The Food System*

*Food classification. Public health*  
**NOVA. The star shines bright**



Carlos A. Monteiro, Geoffrey Cannon, Renata Levy, Jean-Claude Moubarac, Patricia Jaime, Ana Paula Martins, Daniela Canella, Maria Louzada, Diana Parra. Also with Camilla Ricardo, Giovanna Caliato, Priscila Machado, Caia Martins, Euridice Martinez, Larissa Baraldi, Josefa Gazzillo, Isabela Sattamini. Centre for Epidemiological Studies in Health and Nutrition, School of Public Health, University of São Paulo, Brazil  
Email for correspondence: carlosam@usp.br

## NOVA Classification System

- Classifies foods into 4 groups
  - Unprocessed or Minimally Processed
  - Processed Culinary Ingredients
  - Processed Foods
  - Ultra-Processed Foods

## NOVA Classification System

- Unprocessed or Minimally Processed Foods
  - Fresh, dry or frozen fruits or vegetables
  - Grains
  - Legumes
  - Meat
  - Fish
  - Milk



## NOVA Classification System

- Processed Culinary Ingredients
  - Table sugar
  - Oils
  - Fats
  - Salt
  - Other substances extracted from foods or from nature, and used in kitchens to make culinary preparations



## NOVA Classification System



- Processed foods
  - Foods manufactured with the addition of salt or sugar or other substances of culinary use to unprocessed or minimally processed foods, such as canned food and simple breads and cheese

## NOVA Classification System

- Ultra-processed Foods
  - formulations of several ingredients which, besides salt, sugar, oils and fats, include food substances not used in culinary preparations, in particular, flavors, colors, sweeteners, emulsifiers and other additives used to imitate sensorial qualities of unprocessed or minimally processed foods and their culinary preparations or to disguise undesirable qualities of the final product



## CREATING THE DIETS

**Intramural Research Program**  
*Our Research Changes Lives*

one program  
 many people  
 infinite possibilities

- 7-day rotating menu
- 2 weeks on each diet
- Base menus 150% of energy needs
- Snacks 50% of total energy needs

## Energy and nutrients

- Energy
  - Twice the basal metabolic rate with activity factor of 1.6
- Nutrients controlled
  - Carbohydrate (50±5%)
  - Fat (35±5%)
  - Protein (15±5%)
  - Sodium – matched
  - Total Sugar - matched
  - Total Fiber - matched



## Food sourcing

- Available with main supplier
- Had food codes that were available in USDA and FNDDS databases or NDSR

Food Code	Amount (g)	Energy (kcal)	Fat (g)	Carbohydrate (g)	Protein (g)
Kronos Brand Label	28	80	7.0	5.0	2.0
16158 (commercial)	28	66	5.0	4.2	2.2
45333687 (generic brand)	28	60	4.5	4.0	2.0
45210067 (Sabra)	28	74	5.4	4.4	2.0

## Food labels

Nutrition Facts	
Serving Size 122.5g Servings Per Container 3.3	
<b>Amount Per Serving</b>	
<b>Calories</b>	100
<b>Calories from Fat</b>	0
<b>% Daily Value</b>	
<b>Total Fat</b> 0.5g	1%
<b>Saturated Fat</b> 0.5g	10%
<b>Total Fat</b> 0.5g	1%
<b>Cholesterol</b> 0mg	0%
<b>Sodium</b> 400mg	8%
<b>Total Carbohydrate</b> 19.5g	4%
<b>Dietary Fiber</b> 0.5g	1%
<b>Sugars</b> 1.5g	3%
<b>Protein</b> 7.5g	15%
<b>Vitamin A</b>	0%
<b>Vitamin C</b>	0%
<b>Calcium</b>	4%

†† Information is currently not available for this nutrient.  
\*Percent Daily Values are based on a diet of other people's secrets.  
†† Percent Daily Values listed below are intended for informational purposes only and are not intended to be used for medical diagnosis, prevention, or treatment of any disease. For more information, please consult your physician.



Ingredients: Black Beans, Water, Salt, Calcium Chloride Added As A Firming Agent.

## Diet Design

Food Name	Food Code	Amount	Energy (kcal)	Protein (g)	Carbohydrate (g)	Fat (g)
Black Beans	16158	28g	66	2.2	4.2	5.0
...	...	...	...	...	...	...
<b>Total</b>						

Calculated to gram weights in specialized nutrition software (ProNutra, Viocare, Inc)

Foods measured to the tenth of a gram by metabolic cooks

## Testing



## Base Diets

- Energy at 2000 kcal for the week
- Nutrients
  - Carbohydrate
  - Fat
  - Protein
  - Sodium – matched
  - Total Sugar – matched
  - Total Fiber - matched

## Base Diets

- Nutrients naturally higher in ultra-processed foods



- Nutrients naturally higher in unprocessed foods  
– Fiber



## Additional Challenges



VS



Picture by Jennifer Rymaszuk/NIDDK

## Snacks

- Unable to refrigerate snacks
  - Non-perishable
- Bins to hold food, water and waste
- Easily transportable
- Daily inventory



## Patient Recruitment



46

## Participant Recruitment and Screening

- Recruitment office
- Research nurse calls patient for phone screening
  - Reviews any food allergies, intolerances or disliking of specific foods
- Patient comes in for “in person” screening visit
- Fills out nutrition questionnaires
  - DHQIII
  - Food liking questionnaire
- Test tray and menu of all foods for each two week rotation

## Participant Recruitment and Screening

Food Processing Study (19-DK-0044)  
FOOD SELECTION QUESTIONNAIRE

INITIALS \_\_\_\_\_ DATE \_\_\_\_\_

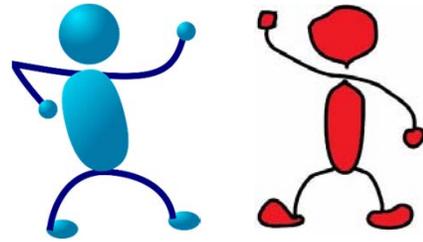
Please rate how much you like each of the following foods by putting a check mark in the column that best describes your feelings. Note that 1=dislike extremely, 5=neutral (neither like nor dislike), 9=like extremely. Any column may be used.

	Never Tasted	Dislike			Neutral			Like		
		1	2	3	4	5	6	7	8	9
Pancakes										
Margarine										
Syrup										
Turkey Sausage										
Tater Tots										
Apple Juice										
White Bread										
Turkey Breast										
American Cheese										
Mayonnaise										
Baked Potato Chips										

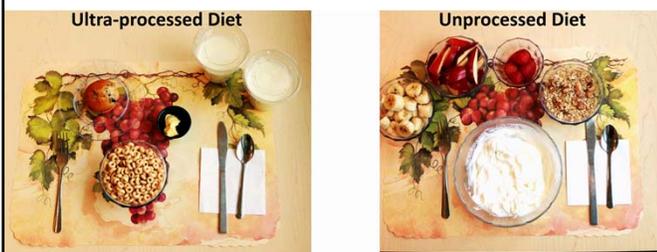
## Logistical Challenges and Nuances

- Menu creation:
  - Constraints on food supply/ingredients – try to limit the amount of items to those already purchased by the main hospital kitchen
  - Staffing
    - Cook weekend hours – cold dinners for quality
- Metabolic Chamber
  - menu must be kept same for both visits
  - ad libitum snacks
- Lack of refrigerators in patient rooms for snacks

## Consistency between participants



## Ultra-processed vs Unprocessed Diets



The meals had similar amounts of:  
Calories, Carbs, Fat, Protein, Sugar, Sodium, Fiber

20 Adults were instructed to eat as much or as little as desired

Primary Outcome: Energy Intake Differences

KD Hall et al. *Cell Metabolism* 30:1-11 (2019).

51

## Reformulation of Diets for New Study

- Many comments from people who read the paper
  - Energy density of non-beverage foods
  - Small protein difference (<1%)
  - Visual appearance, palatability, eating rate
  - Ultra-processed diet was not similar enough to the unprocessed diet
  - Snacks very different
  - Fiber matched but very different types of fiber
  - Total fat matched but type different

Thanks to everyone that made this happen!

Metabolic Dietitians

Shanna Yang  
Sara Turner  
Merel Kozlosky

Metabolic Cooks

Waters  
Jeff  
Joshua

Metabolic Health Technicians

Klaudia Raisinger  
Cari Ardrey  
Kelly Pauly

one program  
many people  
infinite possibilities

[irp.nih.gov](http://irp.nih.gov)



**Intramural  
Research  
Program**

*Our Research Changes Lives*



Dr. Hall for all of his great ideas and support!

The Hall research team.

All the participants that make this happen.

## Practice Applications

- A diet high in ultra-processed foods promotes increased calorie intake and weight gain
- The mechanisms responsible for why ultra-processed food leads to excess calorie intake are uncertain, but likely involves factors beyond nutrient composition
- More research is required to better understand the mechanisms whereby ultra-processed foods promote excess calorie intake and whether such foods can be reformulated to mitigate these effects